

**EXPRESS MAIL RECEIPT NO. ER442560948US  
DEPOSITED ON OCTOBER 17, 2003**

***PATENT*  
DKT. STL11063**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application of: **James R. Jenkins and Roger A. Jessen**

For: **DISC CADDY FEEDER SYSTEM WITH CADDY GRIPPER FOR DATA STORAGE DEVICES**,  
the specification of which is being transmitted herewith.

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement (Copies of U.S. Patents and U.S. Patent Applications cited as references are no longer required to be submitted with patent applications filed after June 30, 2003 as indicated in 1276 OG 55.)
4. Identification of Person(s) Making This Information Disclosure Statement

**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)**

**Section 3. Copies of Listed Information Items Accompanying This Statement**

Inasmuch as the references cited in PTO/SB/08A and 08B are all U.S. Patents/Patent Applications, copies are no longer required to accompany this information statement. (1276 OG 55).

**Section 4. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:


- a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 10-17-2003

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	
Filing Date	10/17/2003
First Named Inventor	James R. Jenkins
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	STL11063

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 5,525,024	06/11/1996	Freerks et al.	
	AB	US- 5,538,385	07/23/1996	Bacchi et al.	
	AC	US- 5,664,926	09/09/1997	Sussman et al.	
	AD	US- 5,697,759	12/16/1997	Bacchi et al.	
	AE	US- 5,857,827	01/12/1999	Asakawa et al.	
	AF	US- 5,931,631	08/03/1999	Bonora et al.	
	AG	US- 5,947,675	09/07/1999	Matsushima	
	AH	US- 5,947,677	09/07/1999	Matsushima et al.	
	AI	US- 5,971,696	10/26/1999	Endo et al.	
	AJ	US- 6,033,521	03/07/2000	Allen et al.	
	AK	US- 6,060,721	05/09/2000	Huang	
	AL	US- 6,135,698	10/24/2000	Bonora et al.	
	AM	US- 6,138,721	10/31/2000	Bonora et al.	
	AN	US- 6,142,723	11/07/2000	Kang	
	AO	US- 6,152,680	11/28/2000	Howells et al.	
	AP	US- 6,190,118	02/20/2001	Allen et al.	
	AQ	US- 6,223,886	05/01/2001	Bonora et al.	
	AR	US- 6,318,944	11/20/2001	Shimeno et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Number-Kind Code <sup>2</sup> (if known)			
	AS	US- 6,409,448	06/25/2002	Sindledecker	
	AT	US- 6,443,686	09/03/2002	Wiesler et al.	
	AU	US- 6,461,094	10/08/2002	Mages et al.	
	AV	US- 6,471,460	10/29/2002	Pedersen et al.	
	AW	US- 2002/0009357	01/24/2002	Hanson et al.	
	AX	US- 2002/0071744	06/13/2002	Bachrach	
	AY	US- 2002/0150449	10/17/2002	Nelson et al.	
	AZ	US- 2002/0164232	11/07/2002	Davis et al.	
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